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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/815,461	03/31/2004	Moinul H. Khan	884.B89US1

Schwegman, Lundberg, Woessner & Kluth, P.A.  
 P.O. Box 2938  
 Minneapolis, MN 55402



**CONFIRMATION NO. 6391**  
**FORMALITIES LETTER**



\*OC000000012946814\*

Date Mailed: 06/17/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**  
 08/17/2004 BABRAHA1 00000018 10815461

01 FC:1001	770.00 OP	FILED UNDER 37 CFR 1.53(b)
02 FC:1051	130.00 OP	
03 FC:1202	216.00 OP	
04 FC:1201	258.00 OP	<i>Filing Date Granted</i>

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$474** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1374** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$474**

PORTFOLIO I.P.

JUN 21 2004

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- **\$258** for **3** independent claims over 3.
- **\$216** for **12** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
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Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature consisting of stylized initials and a surname, appearing to read "Linda".

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Moinul H. Khan et al.

Title: METHOD AND APPARATUS FOR A TRUST PROCESSOR

Docket No.: 884.B89US1

Serial No.: 10/815,461

Filed: March 31, 2004

Due Date: August 17, 2004

Examiner: Unknown

Group Art Unit: 2131

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

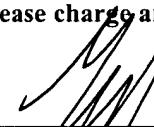
- A return postcard.
- A check in the amount of \$130.00 to cover the large entity surcharge.
- A check in the amount of \$1244.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.).
- A signed Combined Declaration and Power of Attorney (12 pgs.).
- Notice to File Missing Parts (2 pgs.).

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

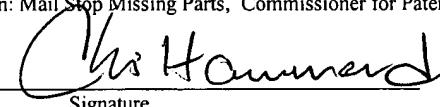
Customer Number: 21186

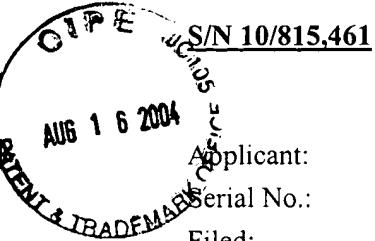
By:

  
Name: Gregg A. Peacock  
Reg. No. 45,001  
GAP:CMG:clh

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 11 day of August, 2004

Chris Hammond  
Name

  
Signature



S/N 10/815,461

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Moinul H. Khan et al. Examiner: Unknown  
Serial No.: 10/815,461 Group Art Unit: 2131  
Filed: March 31, 2004 Docket: 884.B89US1  
Customer No.: 21186 Confirmation No.: 6391  
Title: METHOD AND APPARATUS FOR A TRUST PROCESSOR

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**COMMUNICATION RE: MISSING PARTS**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the Combined Declaration and Power of Attorney, a check in the amount of \$130.00 to cover the Large entity surcharge, and a check in the amount of \$1244.00 to cover the large entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 371-2103.

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,

Moinul H. Khan et al.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938

Minneapolis, MN 55402  
(612) 371-2103

By \_\_\_\_\_  
Gregg A. Peacock  
Reg. No. 45,001  
GAP:CMG:clh

Date 8-11-04

Name

Chris Hammond

Signature

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 11 day of August, 2004

Chris Hammond